## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re patent application of:            | ) Before the Examiner ) | "Express Mail" Label No. EV 394104610 US Date of Deposit: January 27, 2004   |
|---|-------------------------|--|
| Stephen N. Hammond et al.               | ) Not Yet Assigned      | I hereby certify that this correspondence is being deposited with the United States Postal Service   |
| Serial No. New Application              | ) Group Art Unit        | "Express Mail Post Office to Addressee" service<br>under 37 CFR §1.10 on the date indicated above<br>and is addressed to the Commissioner for Patents, |
| Filed: January 27, 2004                 | ) Not Yet Assigned      | P.O. Box 1450, Alexandria, VA 22313-1450.  |
| METHOD FOR CARBURIZING STEEL COMPONENTS | ) Our Ref. 6001-1001    | Signature of person mailing paper of see   |
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## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. §1.56, Applicants wish to bring to the attention of the Examiner the references listed on the enclosed Information Disclosure Statement. No copies of the U. S. references cited on the enclosed 1449 form are enclosed herewith.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to the patentability as defined in §1.56(b).

This Statement is being submitted with the filing of the application. It is believed that no fee is required for consideration of the submitted item. Should any fee be required, however, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

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|-----------------------------------|---|----------------------|---------------------------|------------------------|------------------|
| Substitute for form 1449A/PTO     |   |                      |                           | Application Number     | New Application  |
| INFORMATION DISCLOSURE            |   |                      | LOSURE                    | Filing Date            | January 27, 2004 |
| STATEMENT BY APPLICANT            |   | First Named Inventor | Stephen N. Hammond et al. |                        |                  |
|                                   |   |                      |                           | Group Art Unit         | Unknown          |
| (use as many sheets as necessary) |   | Examiner Name        | Unknown                   |                        |                  |
| Sheet                             | 1 | of                   | 1                         | Attornev Docket Number | 6001-1001        |

| U.S. PATENT DOCUMENTS |              |   |                                |  |  |
|-----------------------|--------------|---|--------------------------------|--|--|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2</sup> (If known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Application of Cited Document | Pages, Columns, Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |              | US-3,827,920  | 08-06-1974                     | Shimoda et al.                                       |  |
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|                       |              | US-   |                                |  |  |

| Examiner  | Examiner Cite | Foreign Patent Document   | Publication Date | Name of Patentee or<br>Application of Cited | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant | 74 |
|-----------|---------------|---|------------------|---|---|----|
| Initials* | No.1          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known) | MM-DD-YYYY       | Document                                    | Figures Appear  |    |
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| Examiner<br>Signature | Date<br>Considered |  |
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